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TRANSMITTAL		Applie	cation Number	09/941,93	34		
		Filing	Date	August 3	0, 2001		
FORM			First I	Named Inventor	Joe Carg	nelli	
(to be used for all correspondence after initial filing)			Group	Art Unit	3743		
			Exami	ner Name			
Total Number of Pages in	n This Submission		Attorn	ey Docket Number	9351-072	2	
		ENCL	OSURES	(check all that apply)			
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Fee Attached		☐ Drawin	g(s)			ll Communication to Board of als and Interferences	
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December 18, 2002

Stephen M. Beney B.Sc. (Physics)
416 957 1697 <u>sbeney@bereskinparr.com</u>

Your Reference: 09/941,934 Our Reference: 9351-072

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents & Trademarks Washington, D.C. U.S.A 20231

Dear Sir:

Re: United States Patent Application No. 09/941,934

For: METHOD AND APPARATUS FOR EXCHANGING ENERGY AND/OR

**MASS** 

Filing Date: August 30, 2001 Applicant: Joe Cargnelli et al.

**Group: 3743** 

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, applicant hereby submits a Supplemental Information Disclosure Statement including (1) a listing of patents and other publications of which applicant is aware on form PTO-1449; (2) a copy of each listed item.

This Supplemental Information Disclosure Statement is pursuant to provisions of 37 CFR 1.97(c)(1). The Examiner is advised, pursuant to provisions of 1.97(e) that each item contained in the Supplemental Information Disclosure was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement. In particular, the information was cited in the International Search Report on the corresponding PCT application which was received by the undersigned on December 2, 2002.

This Supplemental Information Disclosure Statement is filed prior to the mailing date of either a Final Action or a Notice of Allowance. Pursuant to 37 CFR 1.97(c) no filing fees are required.

please send your reply to:

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In view of the forgoing, it is respectfully submitted that the Supplemental Information Disclosure Statement should be considered at this time by the Examiner.

Respectfully submitted,

Stephen M. Beney Registration No. 41,563 /pd

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				Application Number	09/941,934				
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STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Joe Cargnelli				
				Group Art Unit	3743				
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Sheet	1	of	1	Attorney Docket Number	9351-072				

	U.S. PATENT DOCUMENTS									
Examiner Initials *	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
		2;204,431	W. E. Moore et al.	June 11, 1940						
		4,269,611	Anderberg	May 26, 1981						
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			FOREIGN	N PATENT DOCUM	ENTS		
Examiner Cite Initials* No.1	Foreign Patent Document			Name of Patentee or	Date of	Pages, Columns, Lines,	
	Office <sup>3</sup>	Number⁴	Kind Code⁵ ( <i>if</i> known)	Applicant of Cited Document	Cited Document  MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		GB 508 3	372	Sadler	June 22, 1939		
		DE 25 12	065	Nakajima	Sept. 30, 1976		
		EP 0 853	967	Boc Group Inc.	July 22, 1998		
		EP 0 512	534	Mitsubishi	Nov. 11, 1992		
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		GB 942 2	261	Metallgesellschaft	Nov. 20, 1963		
		Cite	Cite No. 1 Office 3 Number 4 GB 508 3 DE 25 12 EP 0 853 EP 0 279	Cite No. 1 Office 3 Number 4 Kind Code 5 (if	Foreign Patent Document	Cite No.¹         Office³         Number⁴         Kind Code⁵ (if known)         Applicant of Cited Document         Publication of Cited Document MM-DD-YYYY           GB 508 372         Sadler         June 22, 1939           DE 25 12 065         Nakajima         Sept. 30, 1976           EP 0 853 967         Boc Group Inc.         July 22, 1998           EP 0 512 534         Mitsubishi         Nov. 11, 1992           EP 0 279 495         Delair         Aug. 24, 1988	Name of Patentee or Applicant of Cited Document   Name of Patentee or Applicant   Name of Patentee or Applicant of Cited Document   Name of Pate

Examiner Signature	Date Considered		

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark hee if English language Translation is attached.